



2. Annex A – Code of Ethics Statement –

Ordinary Members Only

CODE OF ETHICS STATEMENT

FOR THE CALENDAR YEAR JULY 2023 - JUNE 2024

We acknowledge that, as an Ordinary Member of the AIWM Singapore, we are required to submit annually the Independent Wealth Managers signed Code of Ethics Statement (CES).

We hereby confirm that we have read and understood the Independent Wealth Managers' Code of Ethics and subsequent amendments (the 'Code of Ethics') published on the AIWM Singapore website (<https://www.aiwm.sg>).

With the exception of previous disclosures to the AIWM Singapore (if any) or any other disclosures* as indicated by us here below, we also confirm that we have complied with the Code of Ethics during the past calendar year, and that we will continue to comply with the Code of Ethics during the present calendar year.

We understand that failure to file the Code of Ethics Statement within the stipulated time may result in the revocation or suspension of our AIWM Singapore membership.

Disclosures (if any):

Dated this _____ day of _____ (Year)

On behalf of: _____

(Name of Company/Firm)

Name: _____

Designation: _____

Signature: _____

*This information is provided in strictest confidence and not to be taken as a representation or a guarantee by the Member and its Signatory. No responsibility or liability for any damage resulting from or in connection with its use is assumed by the AIWM Singapore or by its officers, whether in tort, contract or otherwise howsoever. The information is highly privileged and is not to be furnished to another party without prior written approval from AIWM Singapore.